U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known Substitute for form 1449A/PTO 10/827,402 **FORMATION DISCLOSURE Application Number** April 20, 2004 Filing Date EMENT BY APPLICANT Koichiro TANAKA First Named Inventor (use as many sheets as necessary) 2891 **Group Art Unit Bradley Smith Examiner Name** of 1 Attorney Docket Number 0756-7295

| | | | U.S. PATENT DOCUMEN | TS | | | |
|-----------------------|--|----------------------|--|--|--|--|--|
| Examiner Initials* | · Cite | U.S. Patent Document | Name of Patentee or Applicant of Cited | Date of Publication of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | | |
| | | Number Kind Co | | MM-DD-YYYY | | | |
| | | 5,835,249 | Yamada et al. | 11/10/1998 | | | |
| | | 5,854,803 | Yamazaki et al. | 12/29/1998 | | | |
| | | 5,896,233 | Soda et al. | 04/20/1999 | | | |
| | | 6,563,077 | Im , | 05/13/2003 | | | |
| | | 6,770,546 | Yamazaki | 08/03/2004 | | | |
| | | 6,849,825 | Tanaka | 02/01/2005 | | | |
| | | 7,112,517 | Tanaka et al. | 09/26/2006 | | | |
| · | | 7,259,082 | Tanaka | 08/21/2007 | | | |
| | | 2004/0074881 | Oishi | 04/22/2004 | | | |
| | | | | | | | |

| | | | | | FO | REIGN PATENT DOC | UMENTS | | | | |
|-----------------------|--------------|--|----------|---------|------|-----------------------------|---------------------------------------|--|----------------|--|--|
| Examiner Initials* | Cite No.1 | Foreign Patent Document Kind Code ³ Office ³ Number ⁴ (if known) | | | | Name of Patentee or | Date of Publication of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁴ | | |
| | | | | | | Applicant of Cited Document | MM-DD-YYYY | | | | |
| | | JP | 01-14632 | 0 | | | 06/08/1989 | | Abst. | | |
| | | | | - | | | | | | | |
| | | | | | | | · | | | | |
| | | | | | | | · · | | | | |
| | | | | | | | | | | | |
| | | | | | | | | | | | |
| | | | OT | HER PRI | OR A | ART – NON PATENT LITE | RATURE DOCUMENTS | - | | | |
| Examiner Initials | Cite No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.)., date, page(s), volume-issue number(s), publisher, city and/or country where published. | | | | | | | | | |
| innais | INO. | | | | | | | | | | |

| | | |
|-----------|------------|--|
| Examiner | Date | |
| Signature | Considered | |

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

PTO/SB/17 (10-04v2)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

To persons are required to respond to a collection of information unless it displays a valid OMB control number. Under the Paperwork Reduction Act of 1995,

| FEE TRANSMITTAL |
|--------------------|
| FOR FY 2007 |

Effective 09/30/2007. Patent fees are subject to annual revision. ☐ Applicant Claims small entity status. See 37 CFR 1.27. TOTAL AMOUNT OF PAYMENT

|--|

| Complete if Known | | | | | | | |
|----------------------|-----------------|--|--|--|--|--|--|
| Application Number | 10/827,402 | | | | | | |
| Filing Date | April 20, 2004 | | | | | | |
| First Named Inventor | Koichiro TANAKA | | | | | | |
| Examiner Name | Bradley Smith | | | | | | |
| Group Art Unit | 2891 | | | | | | |
| Attorney Docket No. | 0756-7295 | | | | | | |

| METHOD OF PAYMENT | | | | | FEE CALCULATION (continued) | | | | | | |
|--|--|---|--------------------|--------------|-----------------------------|--------------|------------------------|--|--|----------------------------|--|
| 1. The Commissioner is hereby authorized to charge indicated | | | 3. ADDITIONAL FEES | | | | | | | | |
| fees and credit any overpayments to: | | | | Larg | ge | Smal | 1 | | | | |
| . | | | | _ | Enti | • | Entit | у | | | |
| Deposit Account Number | 50-2280 | | | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Desc | ription | | Fee Paid |
| Number | | | | 1051 | 130 | 2051 | 65 | Surcharge - late fil | ing fee or oath | | |
| | | | | 1052 | 50 | 2052 | 25 | Surcharge - late pr | ovisional filing fe | ee or cover sheet | |
| Deposit Account | Robinso Law Off | n Intellectual Property | / | 1053 | 130 | 1053 | 130 | Non-English specif | fication | | |
| Name | 1022 | | | | Tron English speen | | | | | | |
| ' | | | | 1812 | 2,520 | 1812 | 2,520 | For filing a request | for ex parte reex | amination | |
| | | al Fee Required | | 1804 | | 1804 | | Requesting publica | • | | |
| | 7 CFR 1.16 as verpayments | nd 1.17 and | | 1805 | 1,840* | 1805 | 1.840 | Requesting publica | tion of SIR after | Examiner action | · |
| i | | | | 1251 | 120 | 2251 | | Extension for reply | | | |
| | nt claims sma CFR 1.27 | Il entity status. | | 1251 | 120 | 2231 | 00 | Extension for reply | within hist mon | uı | |
| F-3 | nt Enclosed | | | 1252 | 460 | 2252 | 230 | Extension for reply | within second m | onth | |
| Check | ☐ Credit | <u>—</u> | Other | 1253 | 1,050 | 2253 | 525 | Extension for reply | within third mor | ıth | |
| Cilcox | _ 0.00 | Order | _ 0 | 1254 | 1,640 | 2254 | 820 | Extension for reply | within fourth mo | onth | |
| <u> </u> | FEE | CALCULATION | | 1255 | 2,230 | 2255 | 1,115 | Extension for reply | within fifth mon | th | |
| 1. BASIC FIL | ING FEE | | | 1401 | 510 | 2401 | 255 | Notice of Appeal | | | |
| Large Entity | Small Ent | | | 1402 | 510 | 2402 | 255 | Filing a brief in sup | oport of an appeal | l | |
| Fee Fee Code (\$) | Fee Fe Code (\$) | • | Fee Paid | 1403 | 1,030 | 2403 | 515 | Request for oral he | aring | | |
| 1001 310 | 2001 155 | | | 1451 | 1,510 | 1451 | 1,510 | Petition to institute | a public use proc | eeding | |
| 1111 510 | 2111 255 | | • | 1452 | 510 | 2452 | 255 | Petition to revive - | unavoidable | | |
| 1311 210 | 2311 105 | | | 1453 | 1,540 | 2453 | 770 | Petition to revive - | unintentional | | |
| | | ch additional 50 | | 1501 | 1,440 | 2501 | 720 | Utility issue fee (or | reissue) | | |
| | Over 100 Sheets/200 for each additional 50 | | | | 820 | 2502 | 410 | Design issue fee | | | |
| | | | · | 1503 | 1,130 | 2503 | 565 | Plant issue fee | | | |
| | | | | 1462 1463 | 400 | 1462 | | Petitions, Group I | | | |
| | SUBTOTAL (1) (\$) | | | | 200 130 | 1463 1464 | | Petition, Group II Petitions, Group III | | |] |
| 2. EXTRA CL | AIM FEES | | | 1807 | 50 | 1807 | 50 | Processing fee und | er 37 CR 1.17(q) | | |
| | | Fee from Extra Claims below | Fee Paid | 1806 | 180 | 1806 | 180 | Submission of Info | rmation Disclosu | re Stmt | \$180.00 |
| Total Claims | -20** | | | 8021 | 40 | 8021 | 40 | Recording each pat | ent assignment p | er property (times | |
| Independent | -3** | = X \$210 = | | 1809 | 810 | 2809 | 405 | number of propertie Filing a submission | | ion (37 CFR | <u> </u> |
| Claims | | | | | | | | § 1.129(a)) | · | | |
| Multiple Depende | int | | | 1810 | 810 | 2810 | 403 | For each additional § 1.129(b)) | invention to be e | examined (37 CFR | |
| Large Entity Fee Fee | Small Ent Fee Fe | • | | 1801 | 810 | 2801 | 405 | Request for Contin | ued Examination | (RCE) | |
| Code | (\$) Code | • | | 1802 | 900 | 1802 | 900 | Request for expedi | ted examination o | of a design | |
| 1202 50 | 2202 25 | 5 Claims in excess of 20 | | Other | fee (spe | :6.\ | | application | | | |
| 1201 210 | 2201 105 | 5 Independent claims in e | xcess of 3 | Other | iee (spe | city) | | | | | |
| 1203 370 | 2203 185 | 5 Multiple dependent clair | m, if not paid | * Red | uced by | Basic F | iling Fo | ee Paid | SUBTOTAL (3 | \$180.00 | |
| 1204 210 | 2204 105 | | claims over | | | | | | | , [| |
| 1205 50 | 2205 25 | original patent ** Reissue claims in exc | ess of 20 and | | | | | CERTIFICA | TE OF MAILING | | |
| 1205 50 2205 25 ** Reissue claims in excess of 20 and over original patent | | | en filicia | I I | hereby ce | rtify tha | this correspondence is | being deposited wit | h the United States Postal er for Patents, P.O. Box 1 | Service with | |
| SUBTOTAL (2) (\$) | | | | | | , on Nov | | | \rightarrow \sim \sim \sim \sim | er for Patents, P.O. Box I | TOO, AICABIIGHE, |
| **or number p | | | | | VINVO | vi Vju | will be | | | | |
| SUBMITTED | | | | | | | | | Complete (if | applicable) | • |
| | | Eric J. Robinson | | | stration | | 38 | ,285 | | (571) 434-678 | 9 |
| Name (Print/Ty | pe) | | | (Atto | rney/A | gent) | | | Telephone | , | |
| Signature | | 2 | | | | | | | Date | November 8, 2 | :007 |